

Audit and Governance Committee

Date: Friday, 9 July 2021
Time: 10.00 am
Venue: A link to the meeting can be found on the front page of the agenda.

Membership: (Quorum 3)

Matthew Hall (Chairman), Richard Biggs (Vice-Chairman), Susan Cocking, Rod Adkins, Janet Dover, Barry Goringe, Mike Parkes, Bill Pipe, Clare Sutton and Bill Trite

Chief Executive: Matt Prosser, County Hall, Dorchester, Dorset, DT1 1XJ

For more information about this agenda please contact Democratic Services
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Members of the public are welcome to attend this meeting on line with the exception of any items listed in the exempt part of this agenda.

[Link to online meeting](#)

Recording, photographing and using social media at meetings

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AGENDA

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1 APOLOGIES

To receive any apologies for absence.

2 MINUTES

5 - 12

To confirm the minutes of the meeting held on 19 April and the extraordinary meeting held on 21 June 2021.

3 DECLARATIONS OF INTEREST

To disclose any pecuniary, other registrable or personal interest as set out in the adopted Code of Conduct. In making their decision councillors are asked to state the agenda item, the nature of the interest and any action they propose to take as part of their declaration.

If required, further advice should be sought from the Monitoring Officer in advance of the meeting.

4 PUBLIC PARTICIPATION

To receive questions or statements on the business of the committee from town and parish councils and members of the public.

When submitting a question please indicate who the question is for and include your name, address and contact details. Questions and statements received in line with the council's rules for public participation will be published as a supplement to the agenda.

The deadline for submission of the full text of a question or statement is 8.30am on 6th July 2021.

5 AUDIT REPORT SEND TRANSPORT

13 - 22

To consider a report by Rupert Bamberger, Assistant Director, SWAP.

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| 6 | SWAP INTERNAL AUDIT PROGRESS REPORT | 23 - 30 |
| | <p>To consider a report from Rupert Bamberger (SWAP) and Sally White (SWAP).</p> | |
| 7 | RISK MANAGEMENT UPDATE | 31 - 54 |
| | <p>To consider a report by David Trotter, Risk & Resilience Officer and Marc Eyre, Service Manager for Assurance,</p> | |
| 8 | FRAUD AND WHISTLEBLOWING | 55 - 64 |
| | <p>To consider a report by Marc Eyre, Service Manager for Assurance.</p> | |
| 9 | CORPORATE COMPLAINTS | 65 - 84 |
| | <p>To consider a report by Marc Eyre, Service Manager for Assurance and Tony Bygrave, Service Assurance Officer – Complaints.</p> | |
| 10 | PREVENT | 85 - 118 |
| | <p>To consider a recommendation from the People & Health Overview Committee.</p> | |
| 11 | FORWARD PLAN | 119 - 122 |
| | <p>To consider the work programme for the Committee.</p> | |
| 12 | URGENT ITEMS | |
| | <p>To consider any items of business which the Chairman has had prior notification and considers to be urgent pursuant to section 100B (4) b) of the Local Government Act 1972. The reason for the urgency shall be recorded in the minutes.</p> | |
| 13 | EXEMPT BUSINESS | |
| | <p>To move the exclusion of the press and the public for the following item in view of the likely disclosure of exempt information within the meaning of paragraph x of schedule 12 A to the Local Government Act 1972 (as amended).</p> | |
| | <p>The public and the press will be asked to leave the meeting whilst the item of business is considered.</p> | |

There are no items of exempt business.